



## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 101722903  
Source: IFW/O  
Date Processed by STIC: 8/19/04

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.

PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE CHECKER VERSION 4.2 PROGRAM, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses.

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 06/05/04):  
U.S. Patent and Trademark Office, 220 20<sup>th</sup> Street S., Customer Window, Mail Stop Sequence, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202

Revised 05/17/04

# Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION	SERIAL NUMBER: 107221903
ATTN: NEW RULES CASES: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE		
1 _____ Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."	
2 _____ Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.	
3 _____ Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.	
4 _____ Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.	
5 _____ Variable Length	Sequence(s) _____ contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.	
6 _____ PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) _____. Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.	
7 _____ Skipped Sequences (OLD RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence (2) INFORMATION FOR SEQ ID NO X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION: SEQ ID NO X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES" response to include the skipped sequences	
8 _____ Skipped Sequences (NEW RULES)	Sequence(s) _____ missing. If intentional, please insert the following lines for each skipped sequence <210> sequence id number <400> sequence id number 000	
9 _____ Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents	
10 <input checked="" type="checkbox"/> Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: <u>Unknown, Artificial Sequence, or scientific name (Genus/species).</u> <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence	
11 _____ Use of <220>	Sequence(s) _____ missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section. (See "Federal Register," 00/01/1998, Vol. 63, No. 104, pp. 29631-32) (Sec. 1.823 of Sequence Rules)	
12 _____ PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.	
13 _____ Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid	



IFWO

## RAW SEQUENCE LISTING

DATE: 08/19/2004

PATENT APPLICATION: US/10/722,903

TIME: 10:59:18

Input Set : A:\GTC-53\_Sequence Listing.txt

Output Set: N:\CRF4\08192004\J722903.raw

Does Not Comply  
Corrected Diskette Needed  
(pg. 2-4)

3 <110> APPLICANT: GTC Biotherapeutics, Inc.  
 5 <120> TITLE OF INVENTION: Modified Antibodies Stably Produced in Milk and Methods of  
 6 Producing Same  
 8 <130> FILE REFERENCE: GTC-53  
 10 <140> CURRENT APPLICATION NUMBER: 10/722,903  
 11 <141> CURRENT FILING DATE: 2003-11-26  
 13 <150> PRIOR APPLICATION NUMBER: US 60/429,606  
 14 <151> PRIOR FILING DATE: 2002-11-27  
 16 <160> NUMBER OF SEQ ID NOS: 11  
 18 <170> SOFTWARE: PatentIn version 3.2  
 20 <210> SEQ ID NO: 1  
 21 <211> LENGTH: 2028  
 22 <212> TYPE: DNA  
 23 <213> ORGANISM: Homo sapiens  
 26 <220> FEATURE:  
 27 <221> NAME/KEY: misc\_feature  
 28 <223> OTHER INFORMATION: Human Ig germline H-chain G-E-A region B: gamma-4 constant  
 29 region, 3' end  
 31 <400> SEQUENCE: 1  
 32 agcttttctgg ggcaggccgg gcctgacttt ggctggggggc agggaggggg ctaaggtgac 60  
 34 gcaggtggcg ccagccaggt gcacacccaa tgcccatgag ccagacact ggaccctgca 120  
 36 tggaccatcg cggatagaca agaaccgagg ggcctctgcg ccctggggcc agctctgtcc 180  
 38 cacaccgagg tcacatggca ccacctctct tgcagcttcc accaagggcc catccgtctt 240  
 40 ccccttggcg cctgtctcca ggagcacctc cgagagcaca gccgccctgg gctgctggt 300  
 42 caaggactac ttccccgaac cggtagcggg gtcgtggaac tcaggcgccc tgaccagcgg 360  
 44 cgtgcacacc ttcccggtg tctacagtc ctcaggactc tactccctca gcagcgtggt 420  
 46 gaccgtgccc tccagcagct tgggcacgaa gacctacacc tgcaacgtag atcacaagcc 480  
 48 cagcaacacc aaggtggaca agagagtggg tgagaggcca gcacagggag ggaggggtgc 540  
 50 tgctggaagc caggctcagc cctcctgctt ggacgcaccc cggctgtgca gcccagccc 600  
 52 agggcagcaa ggcatgcccc atctgtctcc tcacccggag gcctctgacc accccactca 660  
 54 tgctcaggga gagggtcttc tggatttttc caccaggctc ccggcaccac aggttggatg 720  
 56 cccctacccc aggccttgcg catacagggc aggtgctgcg ctcagacctg ccaagagcca 780  
 58 tatccgggag gaccttgcct ctgacctaa cccaccccaa aggccaaact ctccactccc 840  
 60 tcagctcaga caccttctct cctcccagat ctgagtaact cccaatcttc tctctgcaga 900  
 62 gtccaaatat ggtcccccat gcccatcatg cccaggtaag ccaaccagg cctcgccctc 960  
 64 cagctcaagg cgggacaggt gccctagagt agcctgcata cagggacagg ccccagccgg 1020  
 66 gtgctgacgc atccacctcc atctcttctt cagcacctga gttcctgggg ggaccatcag 1080  
 68 tcttctgtt cccccaaaa cccaaggaca ctctcatgat ctcccggaac cctgaggtca 1140  
 70 cgtgctggtt ggtggacgtg agccagggaag accccgaggt ccagttcaac tggtagctgg 1200  
 72 atggcggtgga ggtgcataat gccaaagaaa agccgcggga ggagcagttc aacagcacgt 1260  
 74 accgtgtggt cagcgtcctc accgtcctgc accaggactg gctgaacggc aaggagtaca 1320  
 76 agtgcaaggc ctccaacaaa ggctcccggt cctccatcga gaaaaccatc tccaaagcca 1380  
 78 aaggtgggac ccacgggggt cgagggccac acggacagag gccagctcgg cccacctct 1440

## RAW SEQUENCE LISTING

DATE: 08/19/2004

PATENT APPLICATION: US/10/722,903

TIME: 10:59:18

Input Set : A:\GTC-53\_Sequence Listing.txt

Output Set: N:\CRF4\08192004\J722903.raw

```

80 gccctgggag tgaccgctgt gccaacctct gtccctacag ggcagccccc agagccacag 1500
82 gtgtacaccc tgcccccatc ccaggaggag atgaccaaga accaggtcag cctgacctgc 1560
84 ctggtcaaag gcttctaccc cagcgacatc gccgtggagt gggagagcaa tgggcagccg 1620
86 gagaacaact acaagaccac gcctcccgtg ctggactccg acggctcctt cttcctctac 1680
88 agcaggctaa ccgtggacaa gagcagggtg caggaggggg atgtcttctc atgctccgtg 1740
90 atgcatgagg ctctgcacaa ccactacaca cagaagagcc tctccctgtc tctgggtaaa 1800
92 tgagtgccag ggccggcaag cccccgctcc ccgggctctc ggggtcgcgc gaggatgctt 1860
94 ggcacgtacc ccgtctacat acttcccagg caccagcat ggaaataaag caccaccac 1920
96 tgccctgggc ccctgtgaga ctgtgatggt tctttccacg ggtcaggccg agtctgaggc 1980
98 ctgagtgaca tgaggggaggc agagcgggtc ccactgtccc cacactgg 2028

```

101 &lt;210&gt; SEQ ID NO: 2

102 &lt;211&gt; LENGTH: 61

103 &lt;212&gt; TYPE: DNA

104 &lt;213&gt; ORGANISM: Homo sapiens

107 &lt;220&gt; FEATURE:

108 &lt;221&gt; NAME/KEY: misc\_feature

109 &lt;223&gt; OTHER INFORMATION: IgG4 Hinge Region Nucleic Acid

111 &lt;400&gt; SEQUENCE: 2

112 tctgcagagt ccaaatatgg tcccccatgc ccactcatgcc caggtaagcc aaccaggcc 60

114 t 61

117 &lt;210&gt; SEQ ID NO: 3

118 &lt;211&gt; LENGTH: 12

119 &lt;212&gt; TYPE: PRT

120 &lt;213&gt; ORGANISM: Homo sapiens

123 &lt;220&gt; FEATURE:

124 &lt;221&gt; NAME/KEY: misc\_feature

125 &lt;223&gt; OTHER INFORMATION: IgG4 Hinge Region Amino Acid

127 &lt;400&gt; SEQUENCE: 3

129 Glu Ser Lys Tyr Gly Pro Pro Cys Pro Ser Cys Pro

130 1 5 10

133 &lt;210&gt; SEQ ID NO: 4

134 &lt;211&gt; LENGTH: 33

135 &lt;212&gt; TYPE: DNA

136 &lt;213&gt; ORGANISM: oligonucleotide

139 &lt;220&gt; FEATURE:

140 &lt;221&gt; NAME/KEY: misc\_feature

141 &lt;223&gt; OTHER INFORMATION: S241P Oligo Nucleic Acid

143 &lt;400&gt; SEQUENCE: 4

144 gggtccccat gtctccctg cccaggtaag cca

147 &lt;210&gt; SEQ ID NO: 5

148 &lt;211&gt; LENGTH: 11

149 &lt;212&gt; TYPE: PRT

150 &lt;213&gt; ORGANISM: oligonucleotide

153 &lt;220&gt; FEATURE:

154 &lt;221&gt; NAME/KEY: misc\_feature

155 &lt;223&gt; OTHER INFORMATION: S241P Oligo Amino Acid

157 &lt;400&gt; SEQUENCE: 5

159 Gly Pro Pro Cys Pro Pro Cys Pro Gly Lys Pro

160 1 5 10

Insert in section 2207-2237,  
 INVALID Response  
 Insert in section 2207-2237,  
 INVALID Response  
 Insert in section 2207-2237,  
 INVALID Response  
 Pls see item #10 on error summary sheet.  
 Mandatory, <213> responses has to be either artificial/Unknown or genus/species.

## RAW SEQUENCE LISTING

DATE: 08/19/2004

PATENT APPLICATION: US/10/722,903

TIME: 10:59:18

Input Set : A:\GTC-53\_Sequence Listing.txt

Output Set: N:\CRF4\08192004\J722903.raw

163 <210> SEQ ID NO: 6  
164 <211> LENGTH: 65  
165 <212> TYPE: DNA  
166 <213> ORGANISM: Homo sapiens  
169 <220> FEATURE:  
170 <221> NAME/KEY: misc\_feature  
171 <223> OTHER INFORMATION: IgG4 Hinge Region Nucleic Acid  
173 <400> SEQUENCE: 6  
174 cttctctctg cagagtccaa atatgggtccc ccatgcccacat catgcccagg tccgccaacc 60  
176 caggc 65  
179 <210> SEQ ID NO: 7  
180 <211> LENGTH: 12  
181 <212> TYPE: PRT  
182 <213> ORGANISM: Homo sapiens  
185 <220> FEATURE:  
186 <221> NAME/KEY: misc\_feature  
187 <223> OTHER INFORMATION: IgG4 Hinge Region Amino Acid  
189 <400> SEQUENCE: 7  
191 Glu Ser Lys Tyr Gly Pro Pro Cys Pro Ser Cys Pro  
192 1 5 10  
195 <210> SEQ ID NO: 8  
196 <211> LENGTH: 65  
197 <212> TYPE: DNA  
198 <213> ORGANISM: Homo sapiens  
201 <220> FEATURE:  
202 <221> NAME/KEY: misc\_feature  
203 <223> OTHER INFORMATION: IgG2 Hinge Region Nucleic Acid  
205 <400> SEQUENCE: 8  
206 cttctctctg cagagcgcaa atgttggtgag gagtgcccac cgtgcccagg tccgccaacc 60  
208 caggc 65  
211 <210> SEQ ID NO: 9  
212 <211> LENGTH: 12  
213 <212> TYPE: PRT  
214 <213> ORGANISM: Homo sapiens  
217 <220> FEATURE:  
218 <221> NAME/KEY: misc\_feature  
219 <223> OTHER INFORMATION: IgG2 Hinge Region Amino Acid  
221 <400> SEQUENCE: 9  
223 Glu Arg Lys Cys Cys Val Glu Cys Pro Pro Cys Pro  
224 1 5 10  
227 <210> SEQ ID NO: 10  
228 <211> LENGTH: 33  
229 <212> TYPE: DNA  
230 <213> ORGANISM: oligonucleotide  
233 <220> FEATURE:  
234 <221> NAME/KEY: misc\_feature  
235 <223> OTHER INFORMATION: Oligo 2014 Nucleic Acid  
237 <400> SEQUENCE: 10  
238 gaggagcagt tccagtctac ttaccgagtg gtc 33

*SAME error*

## RAW SEQUENCE LISTING

DATE: 08/19/2004

PATENT APPLICATION: US/10/722,903

TIME: 10:59:18

Input Set : A:\GTC-53\_Sequence Listing.txt

Output Set: N:\CRF4\08192004\J722903.raw

241 <210> SEQ ID NO: 11  
242 <211> LENGTH: 11  
243 <212> TYPE: PRT  
244 <213> ORGANISM: oligonucleotide  
247 <220> FEATURE:  
248 <221> NAME/KEY: misc\_feature  
249 <223> OTHER INFORMATION: Oligo 2014 Amino Acid  
251 <400> SEQUENCE: 11  
253 Glu Glu Gln Phe Gln Ser Thr Tyr Arg Val Val  
254 1 5 10

*same error*

**VERIFICATION SUMMARY**

PATENT APPLICATION: US/10/722,903

DATE: 08/19/2004

TIME: 10:59:19

Input Set : A:\GTC-53\_Sequence Listing.txt

Output Set: N:\CRF4\08192004\J722903.raw